

INVESTIGATIONS

OVERVIEW

Our Global Investigations practice is a truly integrated, cross-practice, global team that undertakes independent internal investigations and represents corporates, financial institutions and senior individuals in their dealings with regulators and civil and criminal authorities. In particular this includes advising and vigorously defending our clients who are subject to actual or threatened enforcement, disciplinary and criminal proceedings.

Many of our team have spent time working as regulators and prosecutors, bringing deep experience and understanding of their key priorities, personnel, and approaches to decision-making at the relevant authorities. In each case we work closely with our clients to develop a tailored defense strategy, designed to maximize the prospects of achieving the agreed objectives and securing the optimal outcome.

Our clients routinely praise our cross-practice approach to ensure that the risks they face in relation to a specific issue are properly anticipated and managed in the most effective manner. Increasingly, when problems are encountered they need to be assessed holistically to identify the key areas of risk which may include a series of different regulators in multiple jurisdictions. Our teams are equipped to anticipate those areas of risk and to work seamlessly across areas of focus and offices to deliver the best available solutions for our clients.

CLIENT REPRESENTATION

We routinely represent clients in relation to investigations and proceedings pursued by:

- the UK FCA (Financial Conduct Authority);
- PRA (Prudential Regulation Authority);
- SFO (Serious Fraud Office);
- HMRC (HM Revenue & Customs);
- CMA (Competition and Markets Authority);
- the US DOJ (Department of Justice);

- SEC (Securities And Exchange Commission);
- CFTC (Commodity Futures Trading Commission); and
- Fed (Federal Reserve Bank of New York).

As well as a range of other international regulators and prosecutors in relation to allegations of fraud, bribery and corruption, insider dealing, market abuse, loss of sensitive data, money laundering, breach of regulatory rules and listing rules, breach of financial or trade sanctions, cartel investigations, employment issues, a range of other types of misconduct.

The Global Investigations areas of focus include White Collar, Antitrust, Data Privacy, Financial Regulation, Securities Regulation, Utilities Regulation, Employment, Health & Safety, Tax and Product Liability. Our teams work together across the US, UK, France, Belgium, Germany, Abu Dhabi, Dubai, Singapore and Hong Kong SAR.

Investigations are challenging and the BCLP Global Investigations team understands the complexities and works closely with clients to design and implement tailored strategies and solutions to the significant issues that they face.

MEET THE TEAM



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RELATED PRACTICE AREAS

- Antitrust
- Business & Commercial Disputes
- Financial Regulation Compliance & Investigations
- Health & Safety
- Planning & Zoning

- Tax & Private Client
- Anti-Money Laundering Compliance
- Special Investigations

AREAS OF FOCUS

- False Claims Act
- Sensitive Investigations
- White collar/Corporate Crime

RELATED INSIGHTS

News

Apr 10, 2024

BCLP adds top-tier trial lawyer in Seattle, continuing momentum of strategic hires and growth in Pacific Northwest

News

Apr 10, 2024

BCLP partners with Legal Services of Eastern Missouri and Citigroup for fifth annual Financial Institutions Pro Bono Day

News

Apr 02, 2024

BCLP Expands Seattle Presence, Announces Combination with Harrigan Leyh Farmer & Thomsen

News

Aug 14, 2023

Antitrust Team authored article in Legal 500: Competition Litigation Comparative Guide

Events

Jun 01, 2023

Partner speaks at City & Financial FCA Investigations and Enforcement Summit

News

May 22, 2023

BCLP delivers 2023 senior managers development program for the Association of Foreign Banks (AFB)

Events

May 09, 2023

BCLP Hosts 11th Annual Regulatory Roundtable

Insights

May 04, 2023

A Time of Transition for the CFTC

Over the past year, the Commodity Futures Trading Commission continued moving its focus away from practices like spoofing, instead bringing high-profile actions in the crypto space and reaching significant settlements with some of the nation's largest financial institutions relating to their use of unauthorized communication methods. Given the CFTC's newfound focus on unauthorized methods of communication—a focus shared by the Securities and Exchange Commission—market participants should immediately work to revamp their policies, procedures, and practices before regulators turn their gaze upon you.

News

Apr 12, 2023

BCLP ranks in Global Investigations Review's 'GIR 100 2022'